

USDC SDNY  
DOCUMENT  
ELECTRONICALLY FILED  
DOC #:  
DATE FILED: 8/29/12

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
:  
SECURITIES AND EXCHANGE  
COMMISSION,  
:

Plaintiff,  
:

-against-  
:  
:

BEAR, STEARNS & CO. INC.,  
:

Defendant.  
:  
-----X  
-----X  
:

SECURITIES AND EXCHANGE  
COMMISSION,  
:

Plaintiff,  
:

-against-  
:  
:

JACK BENJAMIN GRUBMAN,  
:

Defendant.  
:  
-----X  
-----X  
:

SECURITIES AND EXCHANGE  
COMMISSION,  
:

Plaintiff,  
:

-against-  
:  
:

J.P. MORGAN SECURITIES INC.,  
:

Defendant.  
:  
-----X  
-----X  
:

SECURITIES AND EXCHANGE  
COMMISSION,  
:

03 Civ. 2937 (WHP)

ORDER

03 Civ. 2938 (WHP)

03 Civ. 2939 (WHP)

Plaintiff,	:	03 Civ. 2940 (WHP)
-against-	:	
LEHMAN BROTHERS, INC.,	:	
Defendant.	:	
-----	-X	
-----	-X	
	:	
SECURITIES AND EXCHANGE	:	
COMMISSION,	:	

Plaintiff,	:	03 Civ. 2941 (WHP)
-against-	:	
MERRILL LYNCH, PIERCE, FENNER	:	
& SMITH INC.,	:	
Defendant.	:	
-----	-X	
-----	-X	
	:	
SECURITIES AND EXCHANGE	:	
COMMISSION,	:	

Plaintiff,	:	03 Civ. 2942 (WHP)
-against-	:	
U.S. BANCORP PIPER JAFFRAY, INC.,	:	
Defendant.	:	
-----	-X	
-----	-X	
	:	
SECURITIES AND EXCHANGE	:	
COMMISSION,	:	

Plaintiff,	:	03 Civ. 2943 (WHP)
-against-	:	

UBS WARBURG LLC, :

Defendant. :

-----X

-----X

:

SECURITIES AND EXCHANGE  
COMMISSION, :

Plaintiff, : 03 Civ. 2944 (WHP)

-against- :

GOLDMAN, SACHS & CO., :

Defendant. :

-----X

-----X

:

SECURITIES AND EXCHANGE  
COMMISSION, :

Plaintiff, : 03 Civ. 2945 (WHP)

-against- :

CITIGROUP GLOBAL MARKETS, INC. :  
F/K/A SALOMON SMITH BARNEY,  
INC., :

Defendant. :

-----X

-----X

:

SECURITIES AND EXCHANGE  
COMMISSION, :

Plaintiff, : 03 Civ. 2946 (WHP)

-against- :

CREDIT SUISSE FIRST BOSTON LLC, :  
F/K/A CREDIT SUISSE FIRST BOSTON  
CORPORATION, :

Defendant. :

-----	-X	
-----	-X	
	:	
SECURITIES AND EXCHANGE		
COMMISSION,	:	
Plaintiff,	:	03 Civ. 2947 (WHP)
-against-	:	
HENRY McKELVEY BLODGET,	:	
Defendant.	:	
-----	-X	
-----	-X	
	:	
SECURITIES AND EXCHANGE		
COMMISSION,	:	
Plaintiff,	:	03 Civ. 2948 (WHP)
-against-	:	
MORGAN STANLEY & CO. INC.,	:	
Defendant.	:	
-----	-X	
-----	-X	
	:	
SECURITIES AND EXCHANGE		
COMMISSION,	:	
Plaintiff,	:	04 Civ. 6909 (WHP)
-against-	:	
DEUTSCHE BANK SECURITIES, INC.,	:	
Defendant.	:	
-----	-X	
-----	-X	
	:	
SECURITIES AND EXCHANGE		
COMMISSION,	:	
Plaintiff,	:	04 Civ. 6910 (WHP)


-against- :  
THOMAS WEISEL PARTNERS LLC, :  
Defendant. :  
-----X

WILLIAM H. PAULEY III, District Judge:

The Clerk of the Court is directed to designate all of the above captioned cases as  
ECF cases.

Dated: August 28, 2012  
New York, New York

SO ORDERED:

  
WILLIAM H. PAULEY III  
U.S.D.J.

*All Counsel of Record*

Terri L. Reicher  
Financial Industry Regulatory Authority  
1735 K Street, NW  
Washington, D.C. 20006-1516  
*Counsel for FINRA Investor Education Foundation*